

# On the Siegel-Tatuzawa Theorem

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**Abstract** Siegel-Tatuzawa Theorem is an important result in proving the first one of the Gauss' conjectures on the imaginary quadratic number fields. After that, many people improved upon the result of Siegel-Tatuzawa Theorem. In this paper we use some Lemmas about the upper bound of  $L(1, \chi)$  and some arithmetic theory of the biquadratic number field, get a lower bound of real primitive  $L$ -function at  $s=1$ . Let  $0 < \varepsilon < 1/(6 \lg 10)$ , and  $\chi$  be a real primitive Dirichlet character modulo  $k$  which is greater than  $e^{1/\varepsilon}$ , then with at most one exception, the following expression holds

$$L(1, \chi) > \min\left\{\frac{1}{7 \cdot 702 \lg k}, \frac{31 \cdot 3\varepsilon}{k^\varepsilon}\right\}.$$

**Key words**  $L$ -function, real zeroes, quadratic number fields

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## 关于 Siegel-Tatuzawa 定理

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**[摘要]** Siegel-Tatuzawa 定理是在研究 Gauss 关于虚二次域类数的第一个猜想中产生的一个很重要的结论, Hoffstein 等人对 Siegel-Tatuzawa 定理的结果进行了改进, 进一步得到了关于  $L(1, \chi)$  下界的一些结论. 本文在前人研究的基础上, 利用  $L(1, \chi)$  的上界以及双二次域的算术理论给出了对于实本原 Dirichlet 特征  $\chi$   $L(1, \chi)$  较好的下界.

**[关键词]**  $L$ -函数, 实零点, 二次数域

## 0 Introduction

Let  $\chi$  be a real primitive Dirichlet character modulo  $k (> 1)$ . It is well known that if  $L(s, \chi)$  has no zero in the interval  $(1 - c_1 / \lg k, 1)$ , then  $L(1, \chi) > c_2 / \lg k$ , where  $c_1$  and  $c_2$  are positive constants and  $c_2$  depends upon  $c_1$  (see Lemma 1). If however  $L(s, \chi)$  has a real zero close to 1, the only non-trivial lower bounds that are known for  $L(1, \chi)$  are ineffective

Siegel<sup>[1]</sup>, for example, proved that for any  $\varepsilon > 0$

$$L(1, \chi) > \frac{c(\varepsilon)}{k^\varepsilon},$$

where  $c(\varepsilon)$  is an ineffective positive constant depending upon  $\varepsilon$ . After that Estermann<sup>[2]</sup>, Chowla<sup>[3]</sup>, Golthfeld<sup>[4]</sup> have given the simple proofs of Siegel's result respectively.

Tatuzawa<sup>[5]</sup> proved that if  $0 < \varepsilon < 1/11.2$  and  $k > e^{1/\varepsilon}$ , then with at most one exception

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$$L(1, \chi) > \frac{0.655\varepsilon}{k^\varepsilon}.$$

Hoffstein<sup>[6]</sup> proved that if  $0 < \varepsilon < 1/(6 \lg 10)$  and  $k > e^{1/\varepsilon}$ , then with at most one exception

$$L(1, \chi) > \min\left\{\frac{1}{7.735 \log k}, \frac{\varepsilon}{0.349 k^\varepsilon}\right\}.$$

Lu and Ji<sup>[7]</sup> proved that if  $0 < \varepsilon < 1/(6 \lg 10)$  and  $k > e^{1/\varepsilon}$ , then with at most one exception

$$L(1, \chi) > \min\left\{\frac{1}{7.703 \log k}, \frac{18.236\varepsilon}{k^\varepsilon}\right\}.$$

Ji and Lu<sup>[8]</sup> proved that if  $0 < \varepsilon < 1/(6 \lg 10)$  and  $k > e^{1/\varepsilon}$ , then with at most one exception

$$L(1, \chi) > \min\left\{\frac{1}{7.7388 \log k}, \frac{32.260\varepsilon}{k^\varepsilon}\right\}.$$

Using a technique of Goldfeld<sup>[9]</sup>, Lemma 2 in [8] and the upper bound estimate of  $L(1, \chi)$  of Louboutin<sup>[10, 11]</sup> and some arithmetic theory of the biquadratic number field we prove the following

**Theorem** Let  $0 < \varepsilon < 1/(6 \lg 10)$ , and  $\chi$  be a real primitive Dirichlet character modulo  $k$  which is greater than  $e^{1/\varepsilon}$ . Then with at most one exception the following expression holds

$$L(1, \chi) > \min\left\{\frac{1}{7.702 \log k}, \frac{31.3\varepsilon}{k^\varepsilon}\right\}.$$

## 1 Several Lemmas

$$\text{Let } c_0 = \frac{3}{2} + \sqrt{2}$$

**Lemma 1** (see [7, Lemma 1]) Let  $\chi$  be a real primitive Dirichlet character modulo  $k$  which is greater than  $10^6$ .

- (1) If  $L(s, \chi) \neq 0$  on the interval  $(\beta, 1)$  and  $1 - \beta < 1/(4c_0 \log k)$ , then  $L(1, \chi) > 1.5135(1 - \beta)$ .
- (2) If  $L(s, \chi) \neq 0$  on the interval  $[3/4, 1)$ , then  $L(1, \chi) > 1/(1.536 \lg k)$ .

**Lemma 2** (see [6, Lemma 2]) Let  $K (\neq \mathbf{Q})$  be an algebraic number field and let  $d_K$  denote the absolute value of the discriminant of  $K$ . Then the Dedekind Zeta function  $\zeta_K(s)$  of  $K$  has at most one real simple zero  $\beta$  with

$$1 - \beta < \frac{1}{c_0 \lg d_K}.$$

**Lemma 3** (see [8, Lemma 2]) Let  $K$  be an algebraic number field of degree  $n > 1$  and assume that for each  $m \geq 1$  there exists at least one integral ideal of  $K$  of norm  $m^2$  (e.g.  $K$  is a quadratic or a biquadratic bicyclic number field). Assume also that  $1/2 < \beta < 1$  and  $\zeta_K(\beta) \leq 0$ . Then the residue at  $s = 1$  of the Dedekind Zeta function  $\zeta_K(s)$  of  $K$  satisfies

$$h_K \geq (1 - \beta) \left( x^{\beta-1} \left( \frac{\pi^2}{6} - \frac{n+2}{\lfloor \sqrt{x} \rfloor} \right) - 2 \frac{d_K}{x^{3/2}} \frac{\zeta^n(3/2) (n+1)!}{(4n-3) \pi^n} \right), \quad (x \geq 1).$$

**Lemma 4** (see [10] and [11]) (1) If  $\chi$  is a primitive even Dirichlet character modulo  $k > 1$ , then

$$|L(1, \chi)| \leq \frac{1}{2} (\log k + 0.05).$$

(2) If  $\chi$  is a primitive odd Dirichlet character modulo  $k$ , then

$$|L(1, \chi)| \leq \frac{1}{2} (\log k + 1.44).$$

## 2 Proof of Theorem

Let  $0 < \varepsilon < 1/(6 \lg 10)$  and let  $\chi$  be a real primitive Dirichlet character of least conductor  $k_1 > e^{1/\varepsilon}$  such that

$$L(1, \chi_1) \leq \frac{1}{7 \cdot 702 \log k_1}, \quad (1)$$

if it exists. By Lemma 1,  $L(s, \chi_1)$  has a real zero  $\beta_1$  such that

$$1 - \beta_1 < \frac{1}{4c_0 \log k_1}. \quad (2)$$

Let  $\chi$  be another real primitive Dirichlet character modulo  $k > e^{1/\varepsilon}$  different from  $\chi_1$ . By our choice of  $k_1$  we may assume  $k \geq k_1$ . Now we prove that

$$L(1, \chi) > \min\left\{\frac{1}{7 \cdot 702 \log^2 k}, \frac{31 \cdot 3\varepsilon}{k^\varepsilon}\right\}$$

except  $\chi_1$ . So it suffices to prove the above inequality when  $k > k_1$ . Let the Kronecker symbols of quadratic number fields  $\mathbf{Q}(\sqrt{d})$ ,  $\mathbf{Q}(\sqrt{d_1})$  be  $\chi, \chi_1$  respectively, where  $d$  and  $d_1$  are fundamental discriminants. Then  $|d| = k, |d_1| = k_1$ . Let  $F = \mathbf{Q}(\sqrt{d}, \sqrt{d_1})$ ,  $d_F$  denote the absolute value of the fundamental discriminant of  $F$ . Then it must exist another quadratic number field  $\mathbf{Q}(\sqrt{d_2})$  with the fundamental discriminant  $d_2$  and  $d_2 | dd_1$ ,  $|d_2| = \frac{d_F}{dd_1}$ . If we let the Kronecker symbol of  $\mathbf{Q}(\sqrt{d_2})$  be  $\chi_2$  with conductor  $k_2 = |d_2|$ , then  $\chi_2(n) = \chi(n)\chi_1(n)$ . Then the Dedekind Zeta function of  $F$  is  $\zeta_F(s) = \zeta(s)L(s, \chi)L(s, \chi_1)L(s, \chi_2)$ ,  $s \in \mathbf{C}$  (see Chapter 4 of [12]). Let

$$\alpha = -3/2 - \beta_1, \quad x = d_F^A, \quad A > 0.8 \quad (3)$$

and notice that  $d_F \leq (kk_1)^2 < k^4$ ,  $kk_1 > 10^{12}$ . Applying Lemma 3 with  $n = 4$ ,  $x = d_F^A > d_F^{0.8} > 10^{9.6}$  and  $1 - \beta_1 < 1/(4c_0 \log k_1) < 1/((6 + 4\sqrt{2}) \log 10^6) < 0.00621$ , we obtain

$$1 - 604.52(1 - \beta_1) < k_F x^{1-\beta_1} = L(1, \chi)L(1, \chi_1)L(1, \chi_2)x^{1-\beta_1}. \quad (4)$$

If  $L(s, \chi) \neq 0$  in the range  $1 - 1/(4c_0 \log k) < s < 1$ , then by Lemma 1 we have

$$L(1, \chi) > \frac{1}{4c_0 \log k} > \frac{1}{7 \cdot 702 \log^2 k}$$

and the result follows. If  $L(s, \chi) = 0$  for some  $\beta$  such that  $1 - 1/(4c_0 \log k) < \beta < 1$ , then both  $\beta$  and  $\beta_1$  are zeroes of  $\zeta_F(s)$ . Since  $\beta > 1 - 1/(4c_0 \log k) > 1 - 1/(c_0 \log d_F)$ , we must have

$$1 - \beta_1 \geq \frac{1}{c_0 \log d_F} \geq \frac{1}{2c_0 \log(kk_1)} \quad (5)$$

by Lemma 2. Let  $A = 2/(\frac{3}{2} + \beta_1)$ , then

$$2A(1 - \beta_1) = \frac{4(1 - \beta_1)}{2.5 - (1 - \beta_1)} < \frac{4}{10c_0 - \frac{1}{\log k_1}} \cdot \frac{1}{\log k_1} < \frac{0.1376}{\log k_1}$$

by (2) and  $k_1 > 10^6$ , and we have

$$x^{1-\beta_1} = d_F^{A(1-\beta_1)} \leq (kk_1)^{2A(1-\beta_1)} < (kk_1)^{0.1376/\log k_1}. \quad (6)$$

From Lemma 4 we have

$$L(1, \chi_2) \leq \frac{1}{2}(\log k_2 + 1.44) \leq \frac{1}{2}(\log(kk_1) + 1.44) < 0.5261 \log(kk_1) \quad (7)$$

for  $kk_1 > 10^{12}$ . Combining (1) and (4) ~ (7) we get

$$L(1, \chi) > \frac{4.03022}{\log k_1 \left(1 + \frac{\log k}{\log k_1}\right)^2 (kk_1)^{\frac{0.1376}{\log k_1}}}. \quad (8)$$

Let

$$\eta = \frac{\log k}{\log k_1}, \quad \eta > 1$$

If  $1 < \eta \leq 7.5$ , then by

$$\frac{4 \cdot 0.3022\eta}{(\eta+1)^2 e^{0.1376(\eta+1)}} \cdot 7.702 > 1$$

we get

$$L(1, \chi) > \frac{1}{7.702 \log k}$$

Hence we may assume  $\eta > 7.5$  in this case  $2 \lg(\eta+1) < 0.5036(\eta+1)$ . Then

$$L(1, \chi) > \frac{2 \cdot 122.55}{(\lg k_1) k^{0.6412/\lg k_1}} \quad (9)$$

For fixed  $\delta > 0$ ,  $x e^{\delta/x}$  decreases until it reaches a minimum at  $x = \delta$ . Let  $x = \log k_1$ ,  $\delta = 0.6412 \log k$ . Since  $\log k > 7.5 \log k_1 > (0.6412)^{-1} \log k_1$ ,  $\lg k_1 > 1/\varepsilon$ . Hence

$$(\log k_1) k^{0.6412/\lg k_1} < \frac{1}{\varepsilon} k^{0.6412\varepsilon}.$$

By (9), we have

$$L(1, \chi) > \frac{2 \cdot 122.55\varepsilon}{k^{0.6412\varepsilon}} = \frac{\varepsilon}{k^\varepsilon} \cdot 2 \cdot 122.55 k^{0.3588\varepsilon}.$$

Since

$$2 \cdot 122.55 k^{0.3588\varepsilon} > 2 \cdot 122.55 e^{0.3588\varepsilon(7.5 \log k_1)} > 2 \cdot 122.55 e^{0.3588 \cdot 7.5} > 31.3$$

we have

$$L(1, \chi) > \frac{31.3\varepsilon}{k^\varepsilon}.$$

This completes the proof of Theorem.

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